

## Privacy Policy

I would like to thank you for your decision to invest with me at Remick Capital, LLC. I place a very high value on the trust and confidence my clients place in me and my business. I believe that confidence must be earned and validated through time. One important way I try show respect for our clients' trust is with our sincere pledge to take the steps necessary to ensure that the information you share with us remains confidential.

### *My Pledge to My Clients*

- I collect only the information we need to service your account and administer our business.
- I am committed to keeping your information confidential and I place strict limits and controls on the use and sharing of your information. No one will see your private information except for me and your broker.

### *I Collect the Following Nonpublic Personal Information about You*

- Information I receive from you in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income, and date of birth.
- Information about your transactions with Remick Capital, including, but not limited to, your account number and balance, payment history, parties to transactions, cost basis information, and other financial information.

### *No Disclosure of Nonpublic Personal Information to Third Parties*

- I do not disclose any nonpublic personal information about current or former clients to nonaffiliated third parties, except as required *and* permitted by law.
- I will *never* sell clients' private information to *any* third party.
- When disclosure of nonpublic personal information is required by law, clients will be notified.

### *I Place Strict Limits on the Use and Sharing of Your Information*

- I restrict access to nonpublic personal information to only be used for the administration of service to client accounts.
- I maintain physical, electronic and procedural safeguards that comply with federal standards to protect this information.